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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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BEYER WEAVER & THOMAS LLP  
P.O. BOX 778  
BERKELEY, CA 94704-0778

EXAMINER

JONES, SCOTT E

ART UNIT	PAPER NUMBER
3713	8

DATE MAILED: 04/29/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

N.K

<b>Office Action Summary</b>	Application No.	Applicant(s)
	09/819,152	PAULSEN, CRAIG A.
	Examiner	Art Unit
	Scott E. Jones	3713

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1) Responsive to communication(s) filed on 06 February 2003.

2a) This action is **FINAL**.                    2b) This action is non-final.

3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

4) Claim(s) 1-15, 30-44 and 55-67 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) Claim(s) \_\_\_\_\_ is/are allowed.

6) Claim(s) 1-15, 30-44, and 55-67 is/are rejected.

7) Claim(s) \_\_\_\_\_ is/are objected to.

8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

9) The specification is objected to by the Examiner.

10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

11) The proposed drawing correction filed on \_\_\_\_\_ is: a) approved b) disapproved by the Examiner.

If approved, corrected drawings are required in reply to this Office action.

12) The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) All b) Some \* c) None of:

1. Certified copies of the priority documents have been received.
2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).

a) The translation of the foreign language provisional application has been received.

15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

1) <input type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)
3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____	6) <input type="checkbox"/> Other: _____

## **DETAILED ACTION**

### ***Response to Amendment***

1. This office action is in response to the amendment filed on February 6, 2003 in which applicant amends claims 1, 3, 4, 15, 30-32, 44, and 55, adds claims 60-67, and responds to the claim rejections. Claims 1-15, 30-44, and 55-67 are pending.

### ***Claim Rejections - 35 USC § 112***

2. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

3. Claims 1-15, 30-44, and 55-67 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. In particular, Applicant adds the following limitation to the claims:

- In claim 1, "...wherein the information regarding the one or more preferences allows one to compare the relative attributes of the available preferences."
- In claim 30, "...wherein the information regarding the one or more preferences allows one to compare the relative attributes of the available preferences."
- In claim 55, "...wherein the information regarding the one or more preferences allows one to compare the relative attributes of the available preferences."

Applicant alleges support for the amendments can be found on pages 8-18 and figures 1A and 1B of the specification. However, after carefully reading the specification and reviewing the figures, the examiner is unable to find support for the newly added claim limitations.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1-15, 30-44, 55-61, and 67 are rejected under 35 U.S.C. 102(e) as being anticipated by Walker et al. (Walker) (U.S. 6,110,041).

Walker discloses a method and system for adapting gaming devices to a player's playing preferences. In particular, a gaming machine is networked to a central server which receives preference data from a player and configures the gaming machine to match the received preference data. The player inserts an electronic player tracking card (or other "biometric" data is used) to authenticate that a particular player is on a machine by transmitting data to a central server. Once this data is authenticated the central server programs or configures the gaming machine to the player's preferences. Walker additionally discloses:

Regarding Claims 1, 30, 38, 40, 42, 44, 55, 56, and 58:

- a master gaming controller (slot machine controller (**310**)) is configured to control one or more games played on the gaming machine and to request preference account information from a remote server (central server) (Abstract, Column 2,

lines 14-49, Column 3, lines 29-41, Column 7, line 47-Column 8, line 6, and Figures 1-11B); and

- a memory configured to store gaming software that allows the master gaming controller to request one or more different portions of the preference account information from the remote server (Abstract, Column 2, lines 14-49, Column 3, lines 29-41, and Figures 1-11B);
- the preference account information comprises preferred gaming machine settings (Abstract, Column 2, lines 14-49, Column 3, lines 29-41, and Figures 1-11B).

Regarding Claims 2, 38, and 39:

- two different portions of the preference account information are requested on the remote server (Abstract, Column 2, lines 14-49, Column 3, lines 29-41, and Figures 1-11B).

Regarding Claims 3, 6, 30, 31, 34, and 57:

- the loyalty point account information comprises an amount of loyalty points rewarded during a particular event (Column 5, lines 42-60).

Regarding Claims 4, and 32:

- the particular event comprises a game play (Column 5, lines 42-60).

Regarding Claims 5, and 33:

- the loyalty account settings are selected based on a name or address (Figure 4).

Regarding Claims 7, and 35:

- the preferred game is a slot machine (Column 3, lines 61-64).

Regarding Claims 8, 9, 36, and 37:

- the preferred gaming features and settings are game presentation speed or game audio features (Column 5, lines 1-5).

Regarding Claims 10, 11, and 43:

- biometric input device designed to receive biometric information from a player, such as, a fingerprint or retina scan (Column 6, lines 47-61).

Regarding Claims 12, 41, and 59:

- an interface (display screen (346)) designed to display preference account information (Abstract, Column 2, lines 14-49, Column 3, lines 29-41, Column 7, line 47-Column 8, line 6, and Figures 1-11B).

Regarding Claim 13:

- the interface is compatible with a web browser (Column 9, lines 27-35).

Regarding Claims 14, 15, and 40:

- one or more input devices designed to input preference account information, including a video touch screen, a card reader, keypad, etc. (Figures 3, and 9-11B, and Column 6, lines 39-61).

Regarding Claim 60:

- the information displayed regarding the one or more preferences includes a simulated game generated using one or more preference selections (Column 6, lines 32-38).

Regarding Claim 61:

- the information displayed regarding the one or more preferences includes an account summary (Figure 5).

Regarding Claim 67:

- the information displayed regarding one or more preferences includes a promotional opportunity (Figure 8 (Comp Rate, Comp Specs), column 3, lines 42-45, column 4, lines 49-64, and column 5, lines 32-36, and 42-60).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

7. Claims 62-66 are rejected under 35 U.S.C. 103(a) as being unpatentable over Walker et al. (U.S. 6,110,041) in view of Walker et al. (U.S. 6,077,163).

Walker ('041) discloses that as discussed above with regards to Claims 1-15, 30-44, 55-61, and 67. Walker ('041) seems to lack explicitly stating:

Regarding Claim 62:

- the account summary includes points awarded for an activity.

Regarding Claim 63:

- the account summary includes one or more fields chosen from the group consisting of a date, a location, an activity, and points awarded for an activity.

Regarding Claim 64:

- the information displayed regarding the one or more player preferences includes award level categories.

Regarding Claim 65:

- each award level category includes one or more prizes that are redeemable at an award level corresponding to the award level category.

Regarding Claim 66:

- the user interface further comprises an information display area for displaying additional information for each of the prizes.

Walker ('163), like Walker ('041) teaches of a gaming device having player selectable preferences. Walker ('163) teaches of a player selecting preferences for playing a flat rate play session on a gaming device. Additionally, Walker ('163) teaches:

Regarding Claim 62:

- the account summary includes points awarded for an activity (Figure 4).

Regarding Claim 63:

- the account summary includes one or more fields chosen from the group consisting of a date, a location, an activity, and points awarded for an activity (Figure 5).

Regarding Claim 64:

- the information displayed regarding the one or more player preferences includes award level categories (1 coin, 2 coin, and 3 coin) (Figure 6).

Regarding Claim 65:

- each award level category includes one or more prizes that are redeemable at an award level corresponding to the award level category (Figure 6).

Regarding Claim 66:

- the user interface further comprises an information display area for displaying additional information (pay combination status) for each of the prizes (Figure 6).

It would have been obvious to one having ordinary skill in the art, at the time of the applicant's invention, to incorporate the player playing preferences of Walker ('163) in Walker ('041). One would be motivated to do so such that a player could configure Walker ('041) to input a flat rate price based upon the at least one identified price parameter, and initiate a flat rate play session of the gaming device upon receiving an indication of payment of the flat rate price.

***Response to Arguments***

8. Applicant's arguments filed February 6, 2003 have been fully considered but they are not persuasive.
9. Applicant overcomes the objection to the specification because the embedded hyperlink is deleted from the specification. Therefore, the objection is withdrawn.
10. Applicant overcomes the objection to the claims by submitting a clean set of pending claims having proper line spacing. Therefore, the objection is withdrawn.

11. Applicant overcomes the rejection to claims 1-15 and 30-44 under 112, second paragraph for improper Markush-type claims that rendered the claims indefinite by amending the claims as suggested by the examiner.

12. Regarding the rejection to claims 1-15, 30-44, and 50-59 under 35 U.S.C. 102(e) as being anticipated by Walker et al. (U.S. 6,110,041), please see items 3 and 5 above.

*Conclusion*

13. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Scott E. Jones whose telephone number is (703) 308-7133. The examiner can normally be reached on Monday - Friday, 8:30 A.M. - 5:30 P.M..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Valencia Martin-Wallace can be reached on (703) 308-4119. The fax phone

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numbers for the organization where this application or proceeding is assigned are (703) 872-9302 for regular communications and (703) 872-9303 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1148.

*SCT*

sej  
April 25, 2003

*V. Martin-Wallace*

VALENCIA MARTIN-WALLACE  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 3700